

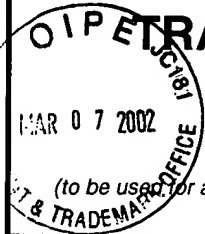
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PTO/SB/21 (08-00)

Approval for use through 10/31/2002. OMB 0651-0031

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	Application Number	09/854,847
	Filing Date	05/14/01
	First Named Inventor	Mathur
	Group Art Unit	1653
	Examiner Name	To Be Assigned
Total Number of Pages in This Submission	11 + 6 references	Attorney Docket Number LEX-0173-USA

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ENCLOSURES (check all that apply)		
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Remarks  <div style="text-align: center;">24231 PATENT, TRADEMARK OFFICE</div>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Lance K. Ishimoto Reg. No. 41,866 Lexicon Genetics Incorporated
Signature	<i>Lance K. Ishimoto by David W. Hubler</i> DAVID W. HUBLER REG. NO. 41,071
Date	March 1, 2002

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: March 1, 2002			
Typed or printed name	Nancy Stacey		
Signature	<i>Nancy Stacey</i>	Date	March 1, 2002

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Applicant(s): Mathur *et al.*

Application No.: 09/854,844

Filed: 05/14/01

Title: Novel Human Lipocalin Homologs and Polynucleotides Encoding the Same

Attorney Docket No.: LEX-0173-USA

Group Art Unit: 1653

Examiner: To Be Assigned

Commissioner for Patents
Washington, D.C. 20231

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

This Supplemental Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:
☐ Certification under 37 CFR 1.97(e), or
☐ a \$240.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with a:
☐ Certification under 37 CFR 1.97(e), and
☐ a petition under 37 CFR 1.97(d)(2)(ii), and
☐ a \$130.00 petition fee set forth in 37 CFR 1.17(i)(1).
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

☒ Applicant(s) submit herewith Form PTO 1449-Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s)

believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

The relevance of the attached references are that they were cited in a communication from a foreign patent office in a counterpart foreign application corresponding to the above-identified application.

Applicant submits that the above references taken alone or in combination neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,

March 1, 2002

Date

Lance K. Ishimoto by David W. Hober *DAVID W. HOBER*
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Lance K. Ishimoto Reg. No. 41,866

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